



321-P034US

PATENT

1754
\$

In re Application of: Richard E. Smalley et al.

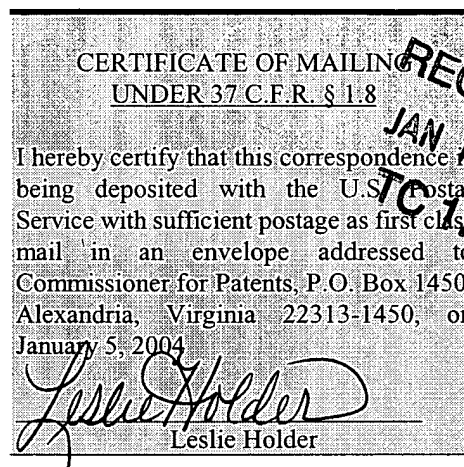
Group Art Unit: 1754

Serial No.: 09/935,493

Filed: August 23, 2001

Title: POLYMER-WRAPPED SINGLE WALL
CARBON NANOTUBES

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450



TRANSMITTAL FOR AMENDMENT UNDER 37 C.F.R. § 1.111

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

In connection with the above-identified patent application, Applicant transmits herewith Amendment Under 37 C.F.R. §1.111 and Acknowledgment Postcard.

- ☐ No additional fee is required.
- ☒ The fee has been calculated as shown below:

EXTENSION OF TERM

- (a) ☒ Applicants petition for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d)) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 420.00	\$210.00
<input type="checkbox"/> three months	\$ 950.00	\$475.00
<input type="checkbox"/> four months	\$2,010.00	\$1,005.00
Fee	\$ <u>0.00</u>	

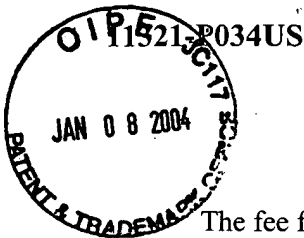
If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- (b) ☐ An extension for _____ months has already been secured and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.
Extension fee due with this request \$ _____
or
- ☐ Applicants believe that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

FEE PAYMENT

- ☐ Attached is a check in the sum of \$ 0.00 to pay for one (1) month extension of time.
- ☐ Charge Deposit Account No. 23-2426 (11321-P034US) the sum of \$0.



PATENT

FEE FOR CLAIMS

The fee for claims (37 C.F.R. §1.16(b)-(d)) has been calculated as shown below:

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CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims:	46	-	46	0	x \$18 =	\$ - 0.00 -
Independent Claims:	3	-	4	1	x \$86 =	\$ - 86.00 -
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						= \$ - 86.00 -

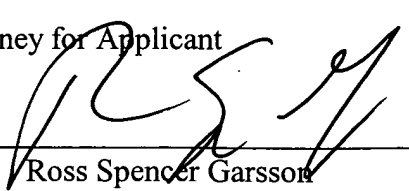
- ☒ A check in the amount of \$ 86.00 is enclosed to cover the costs for the additional claims (37 C.F.R. § 1.16(c)).
- ☐ Please charge Deposit Account No. 23-2426 (11321-P034US) in the amount of \$0. A **duplicate copy of this transmittal letter is enclosed.**
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account No. 23-2426 (11321-P034US). A **duplicate copy of this transmittal letter is enclosed.**
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicant

By:

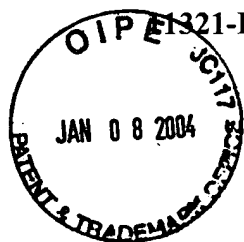

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Group Art Unit: 1754

Serial No.: 09/935,493

Filed: August 23, 2001

Title: POLYMER-WRAPPED SINGLE WALL CARBON
NANOTUBES

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

CERTIFICATE OF MAILING
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is
being deposited with the U.S. Postal
Service with sufficient postage as first class
mail in an envelope addressed to
Commissioner for Patents, P.O. Box 1450,
Alexandria, Virginia 22313-1450, on
January 5, 2004

Leslie Holder
Leslie Holder

AMENDMENT UNDER 37 C.F.R. § 1.111

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action having a mailing date of 3 October 2003 (Paper No. 6),
with a three-month shortened statutory period for response set to expire on 5 January 2004, please
amend the above-identified Application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 3 of this paper.

Remarks/Arguments begin on page 9 of this paper.